



Draft 2021/22 Overall Work Program Modoc County Transportation Commission

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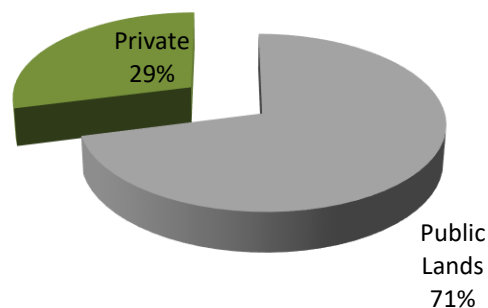
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INTRODUCTION

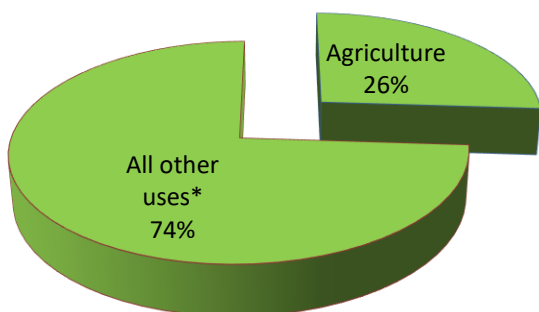
Modoc County is a land of rugged lava plateaus, fertile valleys, and immense mountains. The land area covers 3,944 square miles or about 2.5 million acres. The terrain is mountainous with high-desert vegetation and timber; numerous valleys or basins are suited for agricultural use. Predominant geographic features include the Modoc Plateau, Warner Mountains, and Surprise Valley with three often dry alkaline lakes. Public lands comprise a large percentage of land ownership.

Public Lands in Modoc



There are five general land-use categories within the Modoc region: residential, commercial, industrial, agricultural, and public/quasi-public holdings. Agricultural lands comprise a large use within the county.

Private Lands in Modoc



The population of the county is among the smallest in the state ranking 56th of the 58 counties. The Department of Finance estimated population for Modoc January 1, 2020 was 9,520; this equates to about 2.40 persons per square mile; the statewide average is 251.3 people per square mile. This is a decrease from the 2010 census population of 9,686.

The regional personal income statistics are comparatively lower than state average trends and local unemployment levels of 11% in October 2020 which is higher than statewide unemployment rates of 9.3%. Both rates are higher than 2019 primarily due to the impacts from the COVID-19 pandemic.

The City of Alturas is the County Seat and the only incorporated city in Modoc County. The unincorporated communities include Fort Bidwell, Lake City, Cedarville, and Eagleville along the east; Newell in the northwest, Canby, Adin, and Lookout to the southwest, Likely in the southeast and Davis Creek in the northeastern part of the county. Many of these communities are located along the State Routes 139, 299, and US 395 in Modoc. Elevation and temperate ranges and annual precipitation are shown below. Please see Figure 1 for an area map.

Figure 1 - Modoc County Tribes

This map illustrates the geographical distribution of Native American tribes and rancherias within Modoc County, Oregon, and its proximity to California and Nevada. The map includes major highways (Interstate 395, State Highways 139, 87, 299, 61, 111, 114, 120), county boundaries (Siskiyou, Modoc, Shasta, Lassen), and city/town locations (Tulelake, Newell, Canby, Cal Pines, Adin, Bieber, Lookout, Alturas, Lakeview, Cedarville, Eggleville). Key features include the XL Indian Reservation (Pit River Tribe), Fort Bidwell Indian Reservation, Upper Alkali Lake, Goose Lake, Clear Lake, and several rancherias: Linhart Rancheria (Pit River Tribe), Alturas Rancheria, Cedarvale Rancheria, and Likely Rancheria (Pit River Tribe). A legend defines symbols for Interstate Hwy, State Hwy, County Road, Boundary, City/Towns/Subdivisions, and Indian Reservations. A scale bar indicates distances up to 30 miles.

Adopted: April 6, 2021

BACKGROUND

Regional transportation planning is integrated involving all regional stakeholders (agency representatives from Federal, tribal governments, State, City and County agencies and the private sector) working together to improve mobility. Many simultaneous and consecutive plans, programs, goals, and objectives occur over different periods of time within the region. The Overall Work Program (OWP) identifies planning activities and funding to implement goals and objectives during a 12-month fiscal year period (beginning July 1- and ending June 30 of the following calendar year).

The program outlines transportation activities for decision making, partnering, coordination, public participation and financing of plans and programs through work elements and scope of work. The OWP defines the annual scope of work and estimated costs tied to specific funding using four core Work Elements (WE) 7001 through 7004; work on Modoc County Transportation Commission (MCTC) policies (an on-going effort to develop and update policies and procedures), has been incorporated into WEs 7001 and 7003. One of the core products of the OWP is the Regional Transportation Plan (RTP). The OWP and RTP are consistent with federal and state planning goals, programs, plans and objectives; it represents a unified approach of the regional transportation (multimodal) network.

Some of the transportation plans (OWP and RTP), programs, and projects are interlinked including these key programs:

- Regional Transportation Improvement Program (RTIP)
- State Transportation Improvement Plan (STIP)
- Federal State Transportation Improvement Program (FSTIP)
- State Interregional Transportation Improvement Program (ITIP)
- State Highway Operation and Protection Program (SHOPP)

ORGANIZATION

The Modoc County Transportation Commission (MCTC) was created by the Transportation Development Act (TDA) and established by resolution of the Modoc County Board of Supervisors in 1972. The MCTC governing board consists of six regular and two alternate members; three regular and one alternate member are appointed each by the County of Modoc (County) and City of Alturas (City).

The MTA/Sage Stage governing board was established during 1997, specifically to operate public transit through a third-party contract operator. Although the MCTC and the MTA are separate and distinct entities, the composition of their governing boards and management staff includes the same persons or appointees. The MTA has been designated as the Consolidated Transportation Service Agency (CTSA) as defined by the TDA.

Three employees staff the offices and handle the day-to-day operations for the two separate local transportation entities. These positions include the Executive Director, Chief Fiscal Officer, and Assistant Secretary 2. All operations are supported by dedicated transportation funding and grants from federal, State, and local transportation programs. State Rural Planning Assistance funds provide for transportation planning activities within the region and fund most of the work outlined in the OWP.

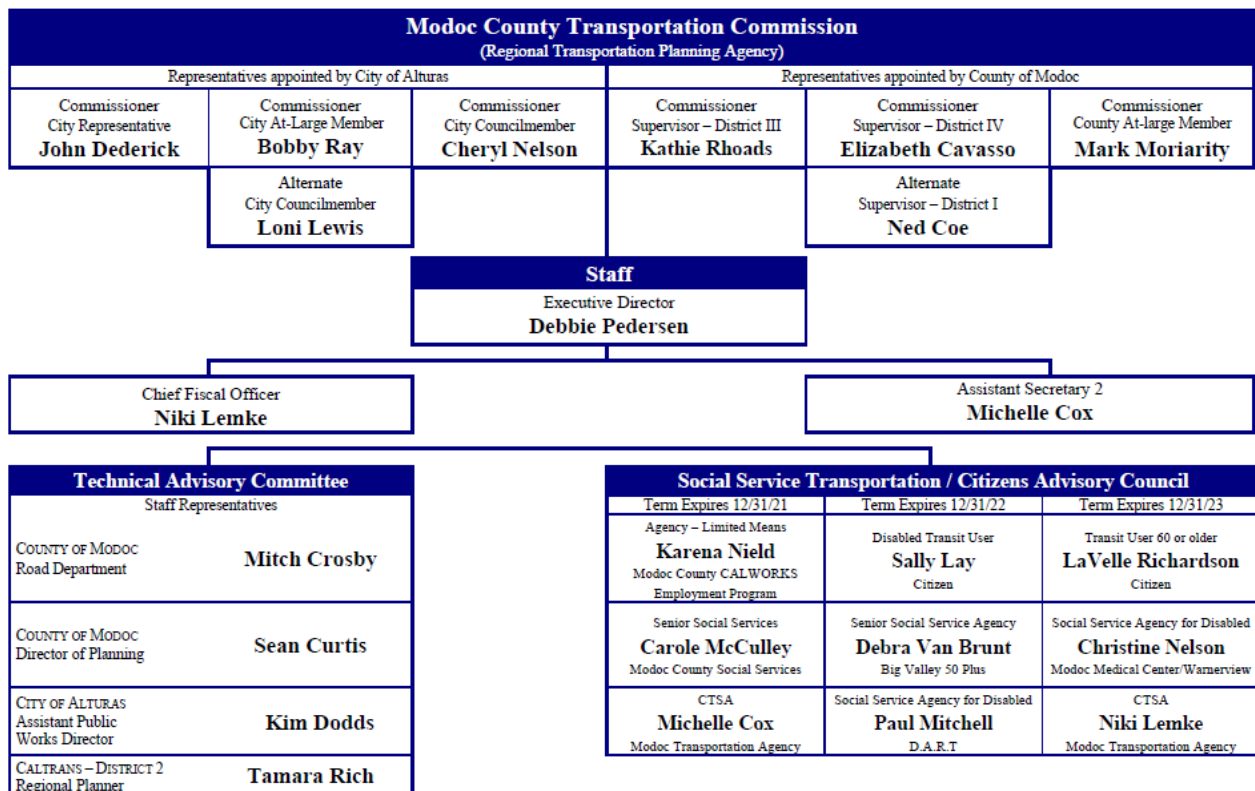
The Modoc Technical Advisory Committee (TAC) consists of representatives from related City and County road and public works departments, county planning Commission, and Caltrans District 2 staff. The TAC generally meets prior to each MCTC meeting to review and comment on the MCTC meeting agenda and to provide input relative to each respective agency. The TAC

provides technical assistance to the Commission and staff in various matters and activities relating to specific programs and projects.

The Social Service Transportation Advisory Committee (SSTAC) helps ensure broad citizen participation, assists with the annual Unmet Transit Needs Finding and subsequent MCTC TDA allocations. The SSTAC is a “mechanism to solicit input of transit dependent and transit disadvantaged persons, including the elderly, handicapped and persons of limited means.” Per legislative intent, the SSTAC also serves as the Citizens Advisory Committee (CAC) to facilitate community and public input during regional transportation planning activities. Data and input gathered or updated annually are used to inventory existing public and social service agency transportation services, facilitate coordination of services, and to identify area gaps and needs.

The MCTC organization chart is displayed in Figure 2.

Figure 2 – Organization Chart



OVERALL WORK PROGRAM

MCTC is responsible for on-going administration and regional transportation planning based on the “3Cs” expressed in federal transportation law: continuing, cooperative and comprehensive. Transportation goals and objectives are considered during the planning and programming processes. Each federal reauthorization specifies planning factors to guide continuing, cooperative, and comprehensive transportation planning, as on-going activities rather than a single completed action. Typically, federal agencies encourage planning organizations to focus work activities on broad planning objectives as relevant to their respective regions and local communities. State and local interests align with those objectives by providing common ground for shared approaches. It is noted that MCTC receives State Rural Planning Assistance funds (no federal funds) and uses the federal planning factors to develop planning goals consistent with our rural needs.

MCTC participates in area task force meetings, is a member of the North State Super Region, and ongoing government to government relations with our tribal governments in the region. The primary regional transportation concerns are to preserve, rehabilitate and improve safety on existing transportation facilities, and to coordinate project sequences and transportation services to maximize efficiency and effectiveness of all available funding.

REVENUE SOURCES

The FY 2021/22 Overall Work Program for the Modoc region uses total funding of \$198,000. The anticipated revenue sources are described by fund source below and amounts are included in Tables 1 and 2. This OWP is financed using a combination of State funding sources identified below, which are approved / revised by separate MCTC resolution:

Rural Planning Assistance funds - Provided by subvention through Caltrans, Rural RPA funds are estimated to be \$6.5 million, pending adoption of the State budget. RPA funds are available for specific eligible uses on a reimbursement basis with maximum twenty-five percent (25%) carryover allowed between fiscal years.

State Transportation Improvement Program (STIP) Planning, Programming, and Monitoring (PPM) funds – The Commission may use up to five percent (5%) of its Regional Improvement Program (RIP) share for eligible PPM activities associated with the STIP requirements. MCTC provides support to the City and County for preparing Project Study Reports for STIP projects. In addition, these funds are utilized to support region wide transportation planning efforts (RTP, pavement management systems, etc.).

FY 2021/22 Overall Work Program Funding

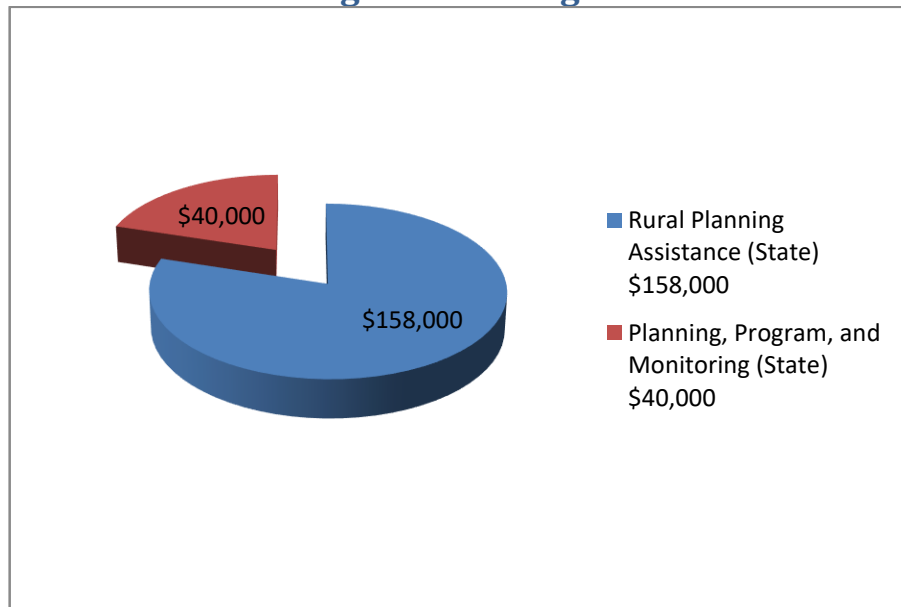


Table 2: Overview of Funding by Work Element

WE	Subset	Description	PF*	RPA	% RPA	PPM	Total by WE
7001		OWP Development	1, 5-8	\$25,000	16%	\$8,000	\$33,000
7002		Public Participation and Interagency Coordination	1-10	\$25,000	16%	\$5,000	\$30,000
	7002.1	Public Participation Plan		\$5,000	3%	\$5,000	\$10,000
	7002.2	Title VI Plan		\$5,000	3%	\$5,000	\$10,000
7003		Regional Transportation System Management	1-10	\$13,000	8%	\$1,500	\$14,500
	7003.1	Regional Transportation Plan		\$8,000	5%	\$5,500	\$13,500
	7003.2	Regional Transportation Improvement Program		\$46,000	29%	\$3,000	\$49,000
7004		Multimodal and Public Transportation	1-10	\$31,000	20%	\$7,000	\$38,000
Total by fund source				\$158,000	100%	\$40,000	\$198,000
				\$158,000			\$158,000

*Planning Factors as defined in current the Federal Highway Act (FAST Act) and are included in Appendix C. Please Note: MCTC receives RPA State Funds and no Federal Planning Funds because we are a rural RTPA.

Work Element 7001 – OWP Development

Purpose

1. Provide for efficient and effective administration and implementation of the Overall Work Program.
2. Support the Modoc County Transportation Commission (MCTC), committees, and advisory groups; keep the public informed on transportation matters.
3. Manage the following: MCTC personnel, financial, and organizational policies, and procedures; daily operations and ensure compliance with applicable laws, rules, and regulations.
4. Manage, support, and maintain direct charges to all work elements and regional transportation planning processes.

Previous Tasks

Prepared the FY 2020/21 WP/PPM Annual Budget; monitored revenues and expenditures, prepared materials for MCTC and TAC meetings, reviewed and approved OWP agreements, reimbursement requests, maintained organizational charts for MCTC and Committees and attended transportation planning workshops, meetings, and staff training.

Ongoing Tasks

- a. Prepare the OWP/PPM Annual Budget.
- b. Monitor expenditures and revenues in relation to the budget; prepare and manage MCTC RPA and PPM financials, reimbursement requests, and assess the need for amendments. OWP and PPM charges and revenues are tracked separately.
- c. Prepare agendas, minutes, legal notices (including publication costs), and staff reports for MCTC, TAC, and MCTC Committee meetings and workshops.
- d. Prepare correspondence, resolutions, and reports to communicate MCTC policies and procedures.
- e. Maintain the organizational charts for MCTC and MCTC Committees.
- f. Attend transportation planning workshops, meetings, conferences, including related staff training.

Products	Due Dates
Agendas, staff reports and minutes for board /advisory groups	Monthly
OWP quarterly reports with invoice for reimbursement	10/21; 01/22; 04/22; 07/22
Amendments to FY 2021/22 OWP	Mid-year, as needed
Prepare draft FY 2022/23 Overall Work Program (OWP)	Jan-Feb 2022
MCTC adopt Final 2022/23 OWP and execute agreements	June 30, 2022
Execution of OWP agreements, and documents	On-going; Annually
Interagency communication: electronic mail, memos, and correspondence.	On-going
Maintain orderly files, archives, and reference library	On-going

Work Element 7001 Budget		
Type	Description	Amount
Revenues	RPA	\$ 25,000
	PPM	\$ 8,000
TOTAL		\$ 33,000
Expenditures	Staff	\$ 32,000
	Legal notices	\$ 1,000
TOTAL		\$ 33,000

Work Element 7002 – Public Participation and Interagency CoordinationPurpose

1. To encourage involvement and feedback during the continuous regional planning process, and to ensure compliance with State and federal requirements; and
2. To coordinate regional transportation planning through consultation and collaboration in these ways:
 - a. Integrate local land use and regional transportation planning.
 - b. Promote cooperation among regional, State and Federal agencies to enhance transportation planning; consult and coordinate with Caltrans, neighboring jurisdictions, and agencies to undertake transportation planning studies (e.g., corridor studies, project study reports, special studies, coordinated research, etc.).
 - c. Coordinate and consult with regional goods movement and freight providers.
 - d. Coordinate and consult with regional bicycle groups and promote walk-able communities.
 - e. Review local agency goods movement and freight planning policies.
 - f. Work with partners to enhance movements of people, goods, services, and information.
 - g. Coordinate local transportation services with regional and interregional providers to improve connections, interregional mobility, and access to basic life activities.
 - h. Consult with and consider interests of community, Native Americans (individuals), Tribes (sovereign nations), Latino groups, and all under-represented groups.

Previous Tasks

Prepared and published workshop and meeting notices for the Main Street Committee public outreach, documented communication files to monitor related inquiries, monitored Brown Act changes due to COVID restrictions, monitored and attended City Council and County Board of Supervisor meetings for transportation related items, and provided information to public, organizations, and agencies regarding transportation related topics. Participated in the following meetings: Rural Counties Task Force, North State Super Region, DEAL East., District 2 Planning, and other workshops.

Ongoing Tasks

- a. Prepare and publish workshop notices and maintain on-going communication elements.
- b. Continue coordination with goods movement industry and review and comment on plans and documents.
- c. Regularly consult and coordinate and communicate with Tribal councils, disadvantaged and ethnic communities, and organizations to maintain good working relationships.
- d. Administer public notification in accordance with the Brown Act. Attend training for the Act to maintain compliance.
- e. Monitor local government and agency meeting agendas (City Council, County Board of Supervisors, social service agencies, Tribal Councils); attend meetings for topics related to regional transportation and multimodal issues. Document outcomes.
- f. Participate in local economic development meetings to help integrate transportation and community goals for land use, economic vitality, social welfare, and environmental preservation.
- g. Provide information and documents about regional transportation issues to interested parties and organizations.
- h. Prepare newspaper articles, press releases, display ads and other informational materials related to project planning, workshops, program development, preparation of RTIPs, etc.

- i. Use partnerships, collaborations, and consultation to identify and implement policies, strategies, programs, and projects to enhance regional movements of people, goods, services, and information.
- j. Participate in Rural County Task Force (RCTF), Regional Transportation Planning Agency (RTPA) group, District 2 RTPA Task Force and DEAL East meetings and other workshops, as reasonable and feasible.
- k. Consult via regional, state, and federal policy and technical advisory committees.
- l. Participate in relevant coordination training, workshops, and conferences.
- m. Serve as local clearinghouse for transportation funding opportunities – grants and programs.
- n. Assist transportation planning, programming, and monitoring activities for specific RTIP projects.

Products	Due Dates
Materials for public hearings, workshops, and meetings, including surveys and fact sheets.	As needed
Press releases, public service announcements and public notices for project planning workshops, preparation of RTIPs and varied supporting studies.	As required
Maintain current (interested parties) mailing list.	On-going
Document Tribal Government-to-Government relations.	On-going

Work Element 7002 Budget		
Type	Description	Amount
Revenues	RPA	\$ 25,000
	PPM	\$ 5,000
TOTAL		\$ 30,000
Expenditures	Staff	\$ 30,000
TOTAL		\$ 30,000

Work Element 7002.1 – Public Participation PlanPurpose

Review and revise the Public Participation Plan to ensure that all opportunities for involvement and feedback are included; ensure compliance with State and Federal requirements.

Previous Tasks

Circulated the MCTC Public Participation Plan (PPP); adopted the updated PPP February 2, 2021; posted the PPP to the MCTC website February 2021.

Ongoing Tasks

- a. Research public participation opportunities and update the plan as needed.

Products	Due Dates
Review and revise PPP/new draft PPP	As needed
MCTC adopt PPP	Feb 2025
Post PPP to MCTC Website	As updated

Work Element 7002.1 Budget		
Type	Description	Amount
Revenues	RPA	\$ 5,000
	PPM	\$ 5,000
TOTAL		\$ 10,000
Expenditure	Staff	\$ 10,000
TOTAL		\$ 10,000

Work Element 7002.2 – Title VI (non-transit)Purpose

To ensure that no person is excluded from participation in, denied the benefits of, or otherwise subjected to discrimination under any of its program, activities, or services based on race, color, or national origin. All persons, regardless of their citizenship, are covered under this regulation to comply with State and federal regulations.

Previous Tasks

Prepared and adopted the FTA Title VI Plan February 2, 2021; posted the plan to MCTC website Feb 4, 2021.

Ongoing Tasks

- a. Monitor State and Federal Transit Administration regulations to maintain compliance.
- b. Amend the plan as needed.

Products	Due Dates
Review and revise Title VI Plan/new draft Title VI Plan	As needed
Final Title VI Plan	Feb 2024
Post Plan MCTC Website	As updated

Work Element 7002.2 Budget		
Type	Description	Amount
Revenues	RPA	\$ 5,000
	PPM	\$ 5,000
TOTAL		\$ 10,000
Expenditure	Staff	\$ 10,000
TOTAL		\$ 10,000

Work Element 7003 - Regional Transportation System Management

Purpose

1. Determine and establish policies, safety needs, deficiencies and multimodal improvement programs for streets, roads, and highways in the region, coordinating with local transportation partners and Caltrans, particularly District 2 staff, and functional units including project coordination meetings and attend project development team meetings.

Previous Tasks

Coordinated with Caltrans District 2 on outreach for Main Street Design Committee; managed and maintained the Pavement Management System. Performed outreach and supported multimodal improvements. Reviewed RTP consistency with agency's plans and programs. Assisted transportation partners (City, County, and Lake Rail) regarding funding programs and transportation grant applications.

Ongoing Tasks

- a. Review RTP consistency with plans and programs.
- b. Consult extensively with shareholders and the public, including outreach to targeted minority groups, regarding regional transportation projects.
- c. Meet with local agencies regarding their efforts to maintain and improve airports, including annual visits, and monitor maintenance of and improvements to general aviation facilities within the region.
- d. Participate as a stakeholder and provide data for Modoc County Road Department's Local Road Safety Plan.
- e. Consult with Tribal Governments and advisory groups for various transportation plans and projects. Meet with Cedarville Indian Rancheria to discuss projects in the region.
- f. Meet with County of Modoc Road and Planning Departments, City of Alturas Public Works, Caltrans, Tribal Governments, transportation providers, and stakeholders.
- g. Manage the Pavement Management System.

Products	Due Dates
Meeting agendas for committees related to b, e, and f, above.	Ongoing as needed
Document consultation and advisory meeting outcomes.	Ongoing
Pavement Condition data.	Ongoing
Responses to survey/information requests from Caltrans, agencies, and organizations.	As requested
Final/submitted regional transportation grant applications.	By deadlines

Work Element 7003 Budget		
Type	Description	Amount
Revenues	RPA	\$ 13,000
	PPM	\$ 1,500
TOTAL		\$ 14,500
Expenditures	Staff	\$ 14,500
TOTAL		\$ 14,500

Work Element 7003.1 - Regional Transportation Plan

Purpose

1. Update elements of the Regional Transportation Plan (RTP) – in collaboration with federal, State, and local agencies, Caltrans, and the public to maintain consistency with State laws and guidelines and local General and Housing plans.

Previous Tasks

Adopted and reformatted the 2019 Regional Transportation Plan. Amended the RTP to include the Modoc County Road Department's Active Transportation Plan.

Ongoing Tasks

- a. Review projects for consistency with the RTP.
- b. Amend the Regional Transportation Plan as needed.

Products	Due Dates
2019 Regional Transportation Plan amendments	As needed
Confirm consistency between the Regional Transportation Plan and regional projects programmed with various State and Federal funds.	Ongoing
Update demographics and data to be consistent with State and local plans.	Ongoing/2024 RTP
Prepare CEQA and circulate draft/adopt final CEQA (NOD)	November 2024
Updated maintained mileage inventory in coordination agencies; support agency efforts to collect pavement condition surveys	Ongoing/2024 RTP

Work Element 7003.1 Budget		
Type	Description	Amount
Revenues	RPA	\$ 8,000
	PPM	\$ 5,500
TOTAL		\$ 13,500
Expenditures	Staff	\$ 13,500
TOTAL		\$ 13,500

Work Element 7003.2 - Regional Transportation Improvement Program

Purpose

1. To develop the RTIP following the CTC's Fund Estimate
2. Assist Agencies in project delivery, STIP amendments/allocations, and monitor timely use of funds.

Previous Tasks

Assisted agencies with STIP Amendments and Time Extensions. Adopted the 2020 RTIP and submitted to the CTC for inclusion in the 2020 STIP. Attended the CTC Workshops regarding timely use of funds modifications and COVID Relief Funding.

Ongoing Tasks

- a. Assist agencies with STIP Amendments and Time Extensions.
- b. Attend CTC workshops to discuss the Fund Estimate.
- c. Attend Coordination meetings with District 2 for potential partnership projects.
- d. Support the development of Project Study Reports, STIP Amendments, monitor timely use of funds.
- e. Conduct interagency and public outreach during the development of the RTIP.
- f. Facilitate interagency collaboration to identify and develop new projects, explicitly integrating environmental considerations for efficient and timely project delivery.
- g. Participate during CTC, Caltrans HQ and D2, RCTF, NSSR and RTPA group meetings / workshops regarding RTIP / STIP preparation, adoption, guidelines development, project criteria, etc.
- h. Coordinate with CTC staff to process STIP amendments and assess funding options; support agency projects and address project issues.

Products	Due Dates
TIP/STIP amendments, allocation requests, time extensions, etc.	Ongoing; As needed
Review the draft and final Fund Estimate	June-Aug 2021
Set targets for agencies; review programming documents for consistency with STIP Guidelines	June-Sept 2021
Adopt the 2022 RTIP and submit to the California Transportation Commission	By Dec 15, 2021
Manage adopted STIP - Timely use of funds, allocations, etc.	Ongoing

Work Element 7003.2 Budget		
Type	Description	Amount
Revenues	RPA	\$46,000
	PPM	\$ 3,000
TOTAL		\$49,000
Expenditures	Staff	\$49,000
TOTAL		\$49,000

Work Element 7004 – Multimodal and Public Transportation

Purpose

1. To review and comment on various projects for transportation impacts.
2. Ensure consistency with the Regional Transportation Plan.
3. Coordinate among public, private, Tribal, and social service transportation providers to support regional mobility.
4. Continuity between the Modoc Public Transit / Social Services Transportation - Coordination Plan document and the Modoc Regional Transportation Plan (RTP) document.

Previous Tasks

Updated the Coordinated Public Transit – Human Services Transportation Plan December 2019 and posted it to the MCTC website. _Provided Lake Rail’s dba Goose Lake Rail application for and award of a Short Line Rail Improvement Program grant. Provided support for the Modoc County’s Alternative Transportation Plan grant application. Investigated the viability of a Bicycle Transportation Plan; Modoc is a small rural area. The climate is not conducive for bicycle commuters about 7-9 months of the year (high terrain, low winter temperatures, snow, ice, etc.). ATP and Bicycle projects are in the 2019 Modoc RTP. Update the Transit Asset Management Plan.

Ongoing Tasks

- a. Continue to support regional agency’s efforts to enhance bicycle and pedestrian options; provide RTPA support for local agency grant applications/projects.
- b. Monitor activities related to non-motorized transportation (bicycle, pedestrian, and transit), general aviation airports within the region, and rail transportation plus ancillary activities, including railroad museum and support *Rails to Trails* initiatives and provide information on grants that may be beneficial to related agencies.
- c. Revise and circulate surveys; assess existing and proposed services regarding public transportation, and multimodal needs. Update the Transit Asset Management Plan.
- d. Attend community meetings to discuss and promote multimodal options.
- e. Identify funding opportunities to support multimodal opportunities, community transportation, planning and coordination of regional transportation services.
- f. Provide information to local partners about Alternative Transportation Program (ATP) funding cycles. Conduct outreach to community bicycle groups to promote and foster partnerships. Work with public health departments to support walkable communities.

Products	Due Dates
Support letters, resolutions, and assistance for agency’s multimodal grant applications	On-going, as needed
Complete surveys and utilize the information in transportation plans and services.	On-going, as needed
Update the Transit Asset Management Plan	Dec 2022

Work Element 7004 Budget		
Type	Description	Amount
Revenues	RPA	\$ 31,000
	PPM	\$ 7,000
TOTAL		\$ 38,000
Expenditures	Staff	\$ 38,000
TOTAL		\$ 38,000

Appendix A Certifications and Assurances

Certifications and Assurances

Fiscal Year 2021

FEDERAL FISCAL YEAR 2021 CERTIFICATIONS AND ASSURANCES FOR FTA ASSISTANCE PROGRAMS

(Signature pages alternate to providing Certifications and Assurances in TrAMS.)

Name of Applicant: Modoc County Transportation Commission

The Applicant certifies to the applicable provisions of categories 01–21. X

Or,

The Applicant certifies to the applicable provisions of the categories it has selected:

Category	Certification
01 Certifications and Assurances Required of Every Applicant	_____
02 Public Transportation Agency Safety Plans	_____
03 Tax Liability and Felony Convictions	_____
04 Lobbying	_____
05 Private Sector Protections	_____
06 Transit Asset Management Plan	_____
07 Rolling Stock Buy America Reviews and Bus Testing	_____
08 Urbanized Area Formula Grants Program	_____
09 Formula Grants for Rural Areas	_____
10 Fixed Guideway Capital Investment Grants and the Expedited Project Delivery for Capital Investment Grants Pilot Program	_____
11 Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs	_____

Certifications and Assurances

Fiscal Year 2021

- | | | |
|----|---|-------|
| 12 | Enhanced Mobility of Seniors and Individuals with Disabilities Programs | _____ |
| 13 | State of Good Repair Grants | _____ |
| 14 | Infrastructure Finance Programs | _____ |
| 15 | Alcohol and Controlled Substances Testing | _____ |
| 16 | Rail Safety Training and Oversight | _____ |
| 17 | Demand Responsive Service | _____ |
| 18 | Interest and Financing Costs | _____ |
| 19 | Construction Hiring Preferences | _____ |
| 20 | Cybersecurity Certification for Rail Rolling Stock and Operations | _____ |
| 21 | Tribal Transit Programs | _____ |

FEDERAL FISCAL YEAR 2021 FTA CERTIFICATIONS AND ASSURANCES SIGNATURE**PAGE**

(Required of all Applicants for federal assistance to be awarded by FTA in FY 2021)

AFFIRMATION OF APPLICANTName of the Applicant: Modoc County Transportation Commission

BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind its compliance. Thus, it agrees to comply with all federal laws, regulations, and requirements, follow applicable federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in federal fiscal year 2021, irrespective of whether the individual that acted on his or her Applicant's behalf continues to represent it.

FTA intends that the Certifications and Assurances the Applicant selects on the other side of this document should apply to each Award for which it now seeks, or may later seek federal assistance to be awarded during federal fiscal year 2021.

The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 *et seq.*, and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31, apply to any certification, assurance or submission made to

Certifications and Assurances

Fiscal Year 2021

FTA. The criminal provisions of 18 U.S.C. § 1001 apply to any certification, assurance, or submission made in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

Signature  Date: February 18, 2021

Name Debbie Pedersen, Executive Director Authorized Representative of Applicant

AFFIRMATION OF APPLICANT'S ATTORNEY

For (Name of Applicant): Modoc County Transportation Commission

As the undersigned Attorney for the above-named Applicant, I hereby affirm to the Applicant that it has authority under state, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA assisted Award.

Signature  Date: 2/15/2021

Name DeeAnne Gillick Attorney for Applicant

Each Applicant for federal assistance to be awarded by FTA must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its electronic signature in lieu of the Attorney's signature within TrAMS, provided the Applicant has on file and uploaded to TrAMS this hard-copy Affirmation, signed by the attorney and dated this federal fiscal year.

Appendix B- Debarment and Suspension Certification

Fiscal Year 2021/2022 California Department of Transportation Debarment and Suspension Certification

As required by U.S. DOT regulations on governmentwide Debarment and Suspension

(Nonprocurement), 49 CFR 29.100:

- 1) The Applicant certifies, to the best of its knowledge and belief, that it and its contractors, subcontractors and subrecipients:
 - a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - b) Have not, within the three (3) year period preceding this certification, been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) transaction or contract under a public transaction, violation of Federal or state antitrust statutes, or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, state, or local) with commission of any of the offenses listed in subparagraph (1)(b) of this certification; and
 - d) Have not, within the three (3) year period preceding this certification, had one or more public transactions (Federal, state, and local) terminated for cause or default.
- 2) The Applicant also certifies that, if Applicant later becomes aware of any information contradicting the statements of paragraph (1) above, it will promptly provide that information to the State.
- 3) If the Applicant is unable to certify to all statements in paragraphs (1) and (2) of this certification, through those means available to Applicant, including the General Services Administration's *Excluded Parties List System (EPLS)*, Applicant shall indicate so in its applications, or in the transmittal letter or message accompanying its annual certifications and assurances, and will provide a written explanation to the State.

January 2021

**DEPARTMENT OF TRANSPORTATION
DEBARMENT AND SUSPENSION CERTIFICATION
FISCAL YEAR 2020/2021
SIGNATURE PAGE**

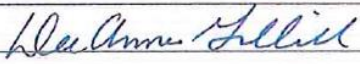
In signing this document, I declare under penalties of perjury that the foregoing certifications and assurances, and any other statements made by me on behalf of the Applicant are true and correct.

Signature  Date February 18, 2021
Printed Name Debbie Pedersen, Executive Director

As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has the authority under state and local law to make and comply with the certifications and assurances as indicated on the foregoing pages. I further affirm that, in my opinion, these certifications and assurances have been legally made and constitute legal and binding obligations of the Applicant.

I further affirm to the Applicant that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these certifications and assurances or of the performance of the described project.

AFFIRMATION OF APPLICANT'S ATTORNEY

For Modoc County Transportation Commission (Name of Applicant)
Signature  Date 2/19/2021
Printed Name of Applicant's Attorney DeeAnne Gillick

Appendix C

FAST ACT Planning Factors

The ten FAST Act Planning Factors (USC 23 Part 134(f) and MAP-21 Section 1201(h)) are updated and issued as part of the federal transportation bill reauthorization process. Federal Planning Factors emphasize the national perspective.

1. Increase the **safety** of the transportation system for both motorized and non-motorized users on all public roads.
2. Increase the **security** of the transportation system for motorized and non-motorized users.
3. Support the **economic vitality** of the planning area, by enabling global competitiveness, productivity, and efficiency.
4. Increase the accessibility and mobility of people and for freight in rural communities.
5. Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns.
6. Enhance the integration and connectivity of the transportation system, across and between modes, for people and freight.
7. Promote efficient system management and operation.
8. Emphasize the preservation of the existing transportation system.
9. Improve the resiliency and reliability of the transportation system and reduce or mitigate storm water impacts of surface transportation; and
10. Enhance travel and tourism.

Please Note: MCTC is a Rural RTPA and does not receive any Federal Planning funding, only State Regional Planning Assistance (RPA) and Planning and Program Monitoring (PPM) funding.